The influence of Chinese character form on neighboring orthographic systems

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Popeye Chinese Wüshu Chinese Nüshu Mandarin Zhuyin 🛧 Khitan large script 🛮 😛 Japanese katakana Korean Hangul **‡** √ Vietnamese Tangut script



"Influence" depends on systematic knowledge

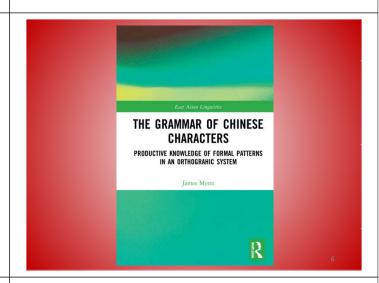
Knowledge of function

- I will *not* talk about this today
 - But see Galambos, Harbour, ...
- Also Handel (2019):



Knowledge of form

- Art history approach?
 - Too vague or superficial (cf. Saleh et al., 2016)
- Memetics?
 - Gene analogies break down quickly (Edmonds, 2005)
- My approach: Areal orthographic patterns
 - Analogous to areal sound patterns (Blevins, 2017)
 - · Spreading of rules of orthographic grammar
- Orthographic grammar?!
 - An old idea (e.g., graphemes: see history in Kohrt, 1986)
 - Including for Chinese script (Wang, 1983)
 - Chinese character grammar is productive, psychologically real, and structured like "real" grammar (Myers, 2019)



Standard objection

"Writing is not language, but merely a way of recording language by means of visible marks." - Bloomfield (1933, p. 21)

- Readers/writers know far more than they're taught
 - Implicit learning of French spelling (Pacton et al., 2001)
 - Character emergence in Chinese kids (Chan et al., 2008. Tsai & Nunes, 2003)
- Language ≠ speech
 - Deaf sign languages are natural human languages
 - Direct equivalents of syntax, morphology, phonology, phonetics (Sandler & Lillo-Martin, 2006)
- A modality-neutral human grammar inducer...?

Some terminology

- Morphology: interpreted formal patterns
 - · The functional role of graphemes in encoding semantic classes, spoken morphemes, syllables, phonemes, phonological features
- Phonology: uninterpreted formal patterns
 - · Constraints on the form and combination of graphemes, predictable grapheme changes that do not affect meaning or pronunciation
- Phonetics: articulation and perception
 - Physiologically universal, concrete, and gradient (cf. phonology: learned, abstract, and discrete)

Character phonology

- Doesn't care about interpretation
- Semantic radicals show "diagonalization"...

土~地

• ... and "dotting":

木~村 火~爛

• But so do constituents that aren't semantic radicals

且~助

工~功

采~彩 禾~和

Character phonetics

- Motivates but does not subsume phonology
- Diagonalization and dotting shorten movement from lower right of left constituent to upper left of next
- But they're conventionalized
 - · Even in mechanical printing
- Also, stroke order serves them, not vice versa:



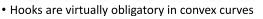
Stroke hooking

- Rightward hooks point at next-written strokes
 - But these must be crossed: a visually defined context









• Left hooks favored by asymmetry and top contact

(other than 丁)	Asymmetrical	Symmetrical 亦丁京于亍 小	
Top contact	了可子手竹乎爭承予牙亨矛 糸孑孓ケ争		
No top contact	事才水求寸事刂扌隶		

Stroke curving

- Vertical curved strokes are restricted to the left.
 - Perhaps motivated by right wrist rotation

介 爪 月

• But "left" is defined at the *constituent* level:

• And they are favored in *narrow* constituents



*Wide = a horizontal line would cross more strokes than a vertical line: etc...

(First observed by Wang, 1983; see psycholinguistic evidence in Myers, 2019)

Stroke enlargement

- Lower and rightmost strokes enlarge
 - Perhaps due to final lengthening in left-to-right and topto-bottom stroke order (cf. Cohen-Goldberg, 2017)



But stroke groups and constituents also enlarge



And there are lexical exceptions



Other patterns seem universal

- Universals needn't be borrowed (Blevins, 2017)
- Strokes interactions (Changizi et al., 2006; Morin, 2018)
 - Favor cardinal axes (horizontal and vertical)
 - Avoid mixing cardinal and oblique axes



- (Also seen in "Popeye Chinese")
- Binarity (minimal contrast)
 - "Reduplication" restricted to two copies per axis





(For reduplication templates, see psycholinguistic evidence in Myers, 2019)

Nüshu (Women's script)

- Represents syllables (roughly) in Xiangnan Tuhua (Hunan) (Van Esch, 2017; Zhao, 1998)
- Inheritance doesn't require borrowing (Blevins, 2017)
- Orthographic phonology
 - Favors oblique strokes, maybe to look non-Chinese?
 - · But this still yields right angles
 - Left-edge curving and hooking (inherited?)
 - Reduplication mostly doubling (universal/inherited?)



- Represents Mandarin onsets, medials, rimes
 - Derived from specific Chinese characters
- Orthographic phonology Mostly inherited

Zhuyin fuhao

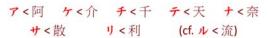
But hooking often lost and never added (less productive?)

Katakana

- Derived from specific Chinese characters
- "Aside from superficial differences in graphic form. [the] only difference [between kana and kanji] is the respective functions they perform within Japanese." - Hansell (2002, p. 166)
- Not so!

Katakana orthographic phonology

 Katakana vertical strokes generally curve everywhere except the left edge



• The system also makes contrasts in stroke direction, not just in stroke axis

Hangul

- Not derived from specific Chinese characters
- Its orthographic morphology is famously innovative

TIKK Ed Ett ll yae

 Yet its orthographic phonology is still strongly influenced by Chinese script

Hangul orthographic phonology

Diagonalization and dotting (not due to stroke order)



Stroke enlargement at bottom and right



• But also non-Chinese-like enlargement on left

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Tangut script phonology

- Mostly not from specific Chinese characters (Gong, 1982)
- Favors oblique axes, but again crossing at 90° (Clauson's components via Galambos, 2016)



• Doubling is usually horizontal, as in Chinese



- Hooking only in "convex" strokes
 - But maybe merely borrowing of entire strokes?





More Tangut script phonology

• Stroke enlargement at bottom (few exceptions)



Curving at left edge (within Clauson's components)

11 11 11 11

• ... but not if "wide" (cf. Chinese curving) nor with top contact (cf. Chinese leftward hooking)

介 反巾用回圆圆圆圆圆圆 用几化升月升月

• ... nor with crossing (plus a few exceptions)



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Summary

	Nüshu	Zhuyin	Katakana	Hangul	Tangut
Diagonalization / dotting				Borrowed	
Hooking	Inherited	Avoided			Borrowed (as strokes?)
Curving	Inherited		Reanalyzed		Borrowed / reanalyzed
Enlarging				Borrowed / reanalyzed	Borrowed
Doubling	Inherited / universal			Universal	Universal

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Conclusions

- Writing systems can be fruitfully analyzed with concepts from morphology, phonology, & phonetics
 - Particularly for highly complex systems like Chinese script
- Some rules of Chinese character phonology have been borrowed into other writing systems
 - Not solely due to universals or inheritance
 - Nor solely borrowing of constituents or strokes
 - Thus the rules were mentally active (at the time)
- Not all patterns were borrowed consistently
 - Perhaps due to attempts to look non-Chinese?
 - Other patterns may not have been sufficiently productive even within Chinese script

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